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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68136

Yasumichi KUWAYAMA, et al.

Appln. No.: 10/046,710

Group Art Unit: 2831

Confirmation No.: 4857

Examiner: Chau U. NGUYEN

Filed: January 17, 2002

For:

STRUCTURE FOR WATERPROOFING TERMINAL-WIRE CONNECTING PORTION

AND METHOD OF WATERPROOFING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P-O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e).

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/046,710

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of an Office Action dated

October 23, 2003 from the German Patent Office in a counterpart application citing such

documents, together with an English-language version (if not already included) of at least that

portion of the Communication indicating the degree of relevance found by the foreign patent

office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 46,027

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 4, 2003

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#### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

he application of

Docket No: Q68136

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AND METHOD OF WATERPROOFING THE SAME

### STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 46,027

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Substitute for Form 1449 A & B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known				
Application Number	10/046,710			
Confirmation Number	4857			
Filing Date	January 17, 2002			
First Named Inventor	Yasumichi KUWAYAMA			
Art Unit	2831			
Examiner Name	Chau U. NGUYEN			
Attorney Docket Number	Q68136			

& TRADENTAL U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date		
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 3,834,004		09/10/1974	Ayers	
		US 1,827,297		10/13/1931	Moore	
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		US				
		US				
		US				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	m 1 6
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		DE	298 06 778	U1	09/02/1999	Grote & Hartmann GmbH & Co KG	
		JP	7-161392		06/23/1995		
		DE	693 02 525	T2	07/22/1993	Aerospatiale	
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		DE	100 45 263	A1	03/28/2002 ITT Manufacturing Enterprises, l		
		DE	298 20 129	U1	05/04/2000	Pfeifer Seil-und Hebetechnik GmbH & Co.	
		DE	28 34 360		02/14/1980	Fritz Werner Industrie-Ausrustungen GmbH	
		EP	0 087 072	B1	02/05/1986	Gebr, Feiss GmbH & Co.	
		EP	0 086 459 ·	B1	02/10/1983	Gebr, Feiss GmbH & Co.	
		JР	2-12680		01/26/1990		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*			Translation <sup>6</sup>	
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Examiner Signature	Date Considered	
	Date Considered	1

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.